



U.S. House of Representatives

COMMITTEE ON ETHICS

Member / Officer Post-Travel Disclosure Form

☐ Original ☐ Amendment

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, B-81 Cannon House Office Building, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Rep. Jim Cooper
2. a. Name of Accompanying Relative: _____ **OR** None ☒
b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
3. a. Dates: Departure: Friday, May 10th Return: Tuesday, May 14th
b. Dates at Personal Expense, if any: Tuesday, May 14th **OR** None ☐
4. Departure City: Washington, DC Destination: Boston, MA Return City: Washington, DC
5. Sponsor(s), Who Paid for the Trip: The Aspen Institute, Inc. (Congressional Program)
6. Describe Meetings and Events Attended (attach additional pages if necessary): _____
Attended the Aspen Institute Congressional Program, Conference, "Internet, Big Data & Algorithms: Gateway to A New Future or A Threat to Privacy and Freedom." Itinerary attached.
7. Attached to this form are **each** of the following, signify that each item is attached by checking the corresponding box:
 - a. ☒ a completed *Sponsor Post-Travel Disclosure Form*;
 - b. ☒ the *Primary Trip Sponsor Form* completed by the trip sponsor **prior** to the trip, **including all** attachments **and** the *Grantmaking or Non-Grantmaking Sponsor Forms*;
 - c. ☒ page 2 of the completed *Traveler Form* submitted by the Member or officer; **and**
 - d. ☒ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
Signify statement is true by checking the box: ☒
b. If not, explain: _____

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

Member / Officer Signature: _____

Date: May 21, 2019



U.S. House of Representatives

COMMITTEE ON ETHICS

Sponsor Post-Travel Disclosure Form

☐ Original ☒ Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. **A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within ten days of their return.** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: The Aspen Institute, Inc. (Congressional Program)

2. Travel Destination(s): Boston, Mass.

3. Date of Departure: May 10, 2019 Date of Return: May 14, 2019

4. Name(s) of Traveler(s): Rep. Jim Cooper

Note: You may list more than one traveler on a form only if all information is identical for each person listed.

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler	\$600	\$786	\$160	\$506; Conference expenses incl. meeting space & AV services
Accompanying Family Member				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box: ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Dan Glickman Date: May 23, 2019

Name: Dan Glickman Title: Vice President

Organization: The Aspen Institute, Inc. (Congressional Program) Executive Director; Congressional Program

I am an officer of the above-named organization. Signify statement is true by checking box: ☒

Address: 2300 N Street, NW, Washington, DC 20037

Telephone: Deputy General Counsel Lisa Jones: 202-736-5859 Email: lisa.jones@aspeninst.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.



U.S. House of Representatives

COMMITTEE ON ETHICS

TRAVELER FORM

1. Name of Traveler: Rep. Jim Cooper
2. Sponsor(s) who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program)
3. Travel Destination(s): Boston, MA
4. a. Date of Departure: May 10, 2019 Date of Return: May 13, 2019
b. Will you be extending the trip at your personal expense? ☐ Yes ☒ No
If yes, list dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? ☐ Yes ☒ No If yes:
(1) Name of Accompanying Family Member: _____
(2) Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
(3) Accompanying Family Member is at least 18 years of age: ☐ Yes ☐ No
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? ☐ Yes ☒ No
b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted:

7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☒ Yes ☐ No
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. **Staff should include their job title and how the activities on the itinerary relate to their duties.**
This conference will provide Rep. Cooper the opportunity to learn more about the internet, big data, and algorithms. He will appl

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? ☐ Yes ☒ No
10. For staff travelers, to be completed by your employing Member:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date 04/10/2019



U.S. House of Representatives

COMMITTEE ON ETHICS

Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* **at least 30 days before the start date of the trip**. The trip sponsor should *NOT* submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program)
2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent.
Signify that the statement is true by checking box: ☒
3. **Check only one.** I represent that:
 - a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: ☐ **OR**
 - b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds: ☐ **OR**
 - c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☒If "c" is checked, list the names of the additional sponsors: The Henry Luce Foundation; Rockefeller Brothers Fund foundation; Democracy Fund foundation
4. Provide names and titles of **ALL** House Members *and* employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary): See attached list
5. Is travel being offered to an accompanying family member of the House invitee(s)? ☒ Yes ☐ No
6. Date of Departure: May 10, 2019 Date of Return: May 13, 2019
7. a. City of departure: Washington, DC or the district
b. Destination(s): Boston, Massachusetts
c. City of return: Washington, DC or the district
8. **Check only one.** I represent that:
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ **OR**
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☒ **OR**
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. **Check only one of the following:**
 - a. I checked 8(a) or (b) above: ☒
 - b. I checked 8(c) above but am not offering any lodging: ☐
 - c. I checked 8(c) above and am offering lodging and meals for one night: ☐ **OR**
 - d. I checked 8(c) above and am offering lodging and meals for two nights: ☐ If you checked this box, explain why the second night of lodging is warranted: _____



U.S. House of Representatives

COMMITTEE ON ETHICS

10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:* ☒
11. **Check only one of the following:**
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:* ☒ **OR**
- b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education: ☐
12. For **each** sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip **and** its role in organizing and/or conducting the trip:
- Aspen Institute's Congressional Program (AICP) provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations listed in question 3C provide grant funding to AICP generally, they do not play a role in organizing or conducting the conference.
13. **Answer parts a and b. Answer part c if necessary:**
- a. Mode of travel: Air ☒ Rail ☐ Bus ☐ Car ☐ Other ☐ (specify: _____)
- b. Class of travel: Coach ☒ Business ☐ First ☐ Charter ☐ Other ☐ (specify: _____)
- c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:
- _____

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:* ☒
15. **Check only one.** I represent that either:
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ **OR**
- b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☒
- If "b" is checked:
- 1) Detail the cost *per day* of meals (approximate cost may be provided): \$65
- 2) Provide the reason for selecting the location of the event or trip: Boston enables scholars from Massachusetts Institute of Technology to participate in the conference
16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
- Hotel Name: Boston Marriott Cambridge City: Boston Cost Per Night: \$262
- Reason(s) for Selecting: Hotel adjacent to MIT meeting space and security a key factor
- Hotel Name: _____ City: _____ Cost Per Night: _____
- Reason(s) for Selecting: _____
- Hotel Name: _____ City: _____ Cost Per Night: _____
- Reason(s) for Selecting: _____
17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking box:* ☒



U.S. House of Representatives

COMMITTEE ON ETHICS

18. Total Expenses for each Participant:

<input type="checkbox"/> Actual Amounts	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
<input checked="" type="checkbox"/> Good Faith Estimates			
For each Member, Officer, or Employee	\$600	\$786	\$160
For each Accompanying Family Member			

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee	\$506	Conference expenses including meeting space and audio/visual services
For each Accompanying Family Member		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check only one:

a. I certify that I am an officer of the organization listed below: ☒ OR

b. Not Applicable. Trip sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Dan Glickman Date: April 5, 2019

Name: Dan Glickman

Title: Vice President, Aspen Institute; Executive Director, Congressional Program

Organization: The Aspen Institute, Inc

Address: 2300 N St NW Ste 700 Washington, DC 20037

Telephone: (202) 736-5859 (Lisa Jones)

Email: lisa.jones@aspeninstitute.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics

U.S. House of Representatives

1015 Longworth House Office Building, Washington, D.C. 20515

Phone: 202-225-7103 General Fax: 202-225-7392

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The Henry Luce Foundation
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
 - a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
 - b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to _____ (destination) _____ on (date) _____ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
 - a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
 - b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:



Name:

Toby Alice Volkman

Title: Secretary + Director of Policy Initiatives

Organization:

The Henry Luce Foundation

Address:

51 Madison Ave., New York, NY 10010

Telephone number:

212-489-7700

Email:

tvolkman@hluce.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Rockefeller Brothers Fund
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: [Signature]

Name: STEPHEN HEINTZ Title: PRESIDENT

Organization: Rockefeller Brothers Fund

Address: 475 Riverside Drive, New York, NY 10115

Telephone number: 212-812-4389 Email: sheintz@rbf.org

If there are any questions regarding this form please contact the Committee at the following address:

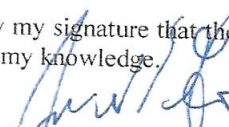
Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Democracy Fund
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute
3. I certify that my organization (complete a or b):
 - a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
 - b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
 - a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
 - b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.
Signature: 
Name: Joseph Goldman Title: President
Organization: Democracy Fund
Address: 1333 New Hampshire Ave, Suite 730, Washington DC 20036
Telephone number: 202-465-8525 Email: jgoldman@democracyfund.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Theodore E. Deutch, Florida
Chairman

Kenny Marchant, Texas
Ranking Member

Grace Meng, New York
Susan Wild, Pennsylvania
Dean Phillips, Minnesota
Anthony Brown, Maryland

John Ratcliffe, Texas
George Holding, North Carolina
Jackie Walorski, Indiana
Michael Guest, Mississippi



ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

David W. Arrojo
Counsel to the Chairman

Christopher A. Donesi
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

May 8, 2019

The Honorable Jim Cooper
U.S. House of Representatives
1536 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Boston, Massachusetts, scheduled for May 10 to 14, 2019, sponsored by the Aspen Institute, Inc., with financial support from the Henry Luce Foundation, the Rockefeller Brothers Fund, and the Democracy Fund. We note that this trip includes one day at your personal expense.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

A handwritten signature in blue ink, appearing to read "Theodore E. Deutch".

Theodore E. Deutch
Chairman

A handwritten signature in blue ink, appearing to read "Kenny Marchant".

Kenny Marchant
Ranking Member

TED/KM:kej

Answer to Question 4 – House Ethics Primary Trip Sponsor Form

Aspen Institute – Boston Conference – May 10-13, 2019

4. Provide names and titles of all House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited.

1. Rep. Don Beyer, VA, Committee on Science, Space, and Technology
2. Rep. Ted Budd, NC, Committee on Financial Services
3. Rep. Tim Burchett, Committee on Small Business
4. Rep. Jim Cooper, TN, Committee on Budget
5. Rep. John Curtis, UT, Committee on Foreign Affairs
6. Rep. Susan Davis, CA, Committee on Armed Services
7. Rep. Diana DeGette, CO, Committee on Energy and Commerce
8. Rep. Ted Deutch, FL, Committee on the Judiciary
9. Rep. Bill Flores, TX, Committee on Energy and Commerce
10. Rep. Jeff Fortenberry, NE, Committee on Appropriations
11. Rep. John Garamendi, CA, Committee on Armed Services
12. Rep. Tom Graves, GA, Committee on Appropriations
13. Rep. Chrissy Houlahan, PA, Committee on Armed Services
14. Rep. Rick Larsen, WA, Committee on Armed Services
15. Rep. Stephen Lynch, MA, Committee on Financial Services
16. Rep. Denver Riggleman, VA, Committee on Financial Services
17. Rep. Phil Roe, TN, Committee on Education and Labor
18. Rep. Jan Schakowsky, IL, Committee on Energy and Commerce
19. Rep. Lori Trahan, MA, Committee on Armed Services
20. Rep. Peter Welch, VT, Committee on Energy and Commerce

INTERNET, BIG DATA & ALGORITHMS: GATEWAY TO A NEW FUTURE OR A THREAT TO PRIVACY AND FREEDOM

The Aspen Institute Congressional Program

May 10-13, 2019

Cambridge, Massachusetts

FRIDAY, MAY 10

Participants arrive mid-late afternoon: Rep. Jim Cooper departs Washington, DC at 2:30 pm on American Airlines flight 2149 and arrives in Boston at 4:01 pm.

6:00 PM – 7:00 PM

Pre-dinner Remarks

WELCOME TO MIT

Founded in 1861, Massachusetts Institute of Technology is one of America's premier institutions of higher education. With 7,000 graduate students and 5,000 undergrads, it is poised to make a significant mark in the fields of artificial intelligence and advancements of the digital age with its new \$1 billion commitment to a College of Computing, set to open in September. The new College, with 50 new faculty positions, will work across MIT's existing five schools as part of a campus-wide effort to integrate computing and AI more deeply into the curriculum. MIT President Reif will welcome the group with this appropriate backdrop of MIT as the venue for our policy discussions.

***L. Rafael Reif, President,
Massachusetts Institute of Technology***

PRIVACY IN THE DIGITAL AGE: THE FUTURE OUTLOOK

Apple CEO Tim Cook has described privacy as a "fundamental human right." How private industry puts into practice the concepts of privacy on electronic devices whose signals can be transmitted globally both deliberately and inadvertently poses challenges for policymakers. Douglas Beck will give an industry perspective on this intersection of an important American value in light of exponentially expanding technological capability.

***Doug Beck, Vice President,
Americas and Northeast Asia, Apple, Inc.***

7:00 PM – 9:00 PM

Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities, challenges, and potential solutions regarding privacy and the Internet.

SATURDAY, MAY 11

7:30 AM – 8:40 AM

Breakfast is available

9:00 AM – 10:45 AM

Roundtable Discussion

THE BENEFITS AND HAZARDS OF ARTIFICIAL INTELLIGENCE ON TRANSPORTATION, HEALTH CARE, NATIONAL SECURITY, MANUFACTURING & THE WORKFORCE

Artificial Intelligence has the potential to have significant impact in numerous sectors of society. This session will survey the landscape of what machine learning can have for changes ahead brought about by utilization and expansion of this technology in wider and wider dimensions of everyday life.

*Hal Abelson, Education Director,
MIT Internet Policy Research Initiative*

*R. David Edelman, Director, Project on Technology,
Economy, and National Security, MIT*

10:45 AM – 12:30 PM

Roundtable Discussion

ARTIFICIAL INTELLIGENCE, ALGORITHMS, FAIRNESS, AND THREATS TO PRIVACY

Today's online society is increasingly shaped by automated decision-making systems using algorithms and artificial intelligence learning models. These models are developed by individuals and companies from a particular subset of our society and may not represent a fully accurate or fair view of the world. Mathematical models that increasingly intersect citizens in their daily activities are developed by human beings and they can reflect hidden or deliberate biases. Machines, rather than humans, are making complex and morally difficult decisions on behalf of programmers, with consequences for free speech and nuanced thought. These same machines may even come to learn more about the individuals than the individuals know themselves. This unregulated new era of "Big Data" has implications for privacy and fairness that may require federal attention.

- How does this use of algorithms and Big Data impact citizens in areas such as hiring practices, job performance ratings, and credit scores, etc?
- Are there built-in inequities that should be taken into account?
- Does government have a role in alerting consumers to threats to their privacy?

*Joy Buolamwini, Founder, Algorithmic Justice League
& PhD student, MIT Media Lab*

*Cathy O'Neil, author, Weapons on Math Destruction: How Big
Data Increases Inequality and Threatens Democracy*

12:30 PM – 1:15 PM
Working Lunch

Discussion continues between members of Congress and scholars regarding future technologies and their implications for privacy.

1:15 PM – 3:00 PM
Roundtable Discussion

THREATS TO DEMOCRACY IN THE DIGITAL AGE

Four subtopics deserve focus: surveillance, election integrity, misinformation and disinformation, and digital manipulation for malevolent purposes. The explosion of public cameras, done for security purposes, has the potential to change the relationship between citizen and state. Nothing is more essential to the protection of democracy than fair and free elections. Yet, as the U.S. becomes more and more digitized and connected, as hackers take aim at our processes, and as foreign entities try to influence our elections, the integrity of the electoral process is jeopardized. The ease with which anyone can now manipulate information and images digitally opens up new realms of vulnerability with unknowable consequences.

- What actions can and should the U.S. Congress take to protect our freedoms and democratic rights with this explosive power of the Digital Age?
- Are citizen's rights infringed by the preponderance of public cameras?
- Will artificial intelligence enable a new era of state surveillance of citizens?
- Should online companies be subject to greater levels of liability, e.g., for defamation? If so, would these be onerous restrictions of a heavy-handed government limiting free speech or legitimate efforts to protect the public from harmful abuse?
- To what degree should governments be involved in monitoring or even regulating the spread of mis- and dis-information on the internet?
- What are the consequences for digitally spreading falsehoods?
- How do the boundaries of responsible free speech fit the Digital Age?
- Is freedom of expression in the digital world at odds with the maintenance of civic discourse?

Jonathan Zittrain, *Professor of International Law,*
Harvard Law School

Ethan Zuckerman, *Director, Center for Civic Media,*
Massachusetts Institute of Technology

6:30 PM – 9:00 PM
Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will

focus on the opportunities, challenges, and potential solutions regarding the future of democracy in the 21st Century.

SUNDAY, MAY 12

7:00 AM – 8:00 AM

Breakfast is available

8:15 AM – 10:00 AM

Roundtable Discussion

CONSUMER'S CONSENT AND CONTROL OF ONLINE INFORMATION

In our modern world, data is key. But who actually owns the data and when or how one consents to having their data collected are disputable topics. For example, once an individual's data has been harvested and processed, through either voluntarily or involuntarily online interactions, it can be put to use in targeted consumer marketing campaigns, campaign advertisements, and individualized sales pitches. While individuals' comfort with these techniques varies, one thing is certain: marketing will never be the same. The explosive power of artificial intelligence is being harnessed for commercial advantage, which can be either advantageous or disadvantageous to the consumer depending on what perspective is held.

- Does consumer use of social media expose them to the risk of exploitation?
- Is there a federal role to protect consumers from unwanted solicitations?

***Howard Beales**, Professor of Strategic Management
and Public Policy, George Washington University*

***Alessandro Acquisti**, Professor of Information and
Public Policy, Carnegie Mellon University*

10:00 AM – 11:00 AM

Roundtable Discussion

PROTECTING THE DRIVE FOR INNOVATION WITHIN THE BOUNDARIES OF THE NEED FOR REGULATION

Our economy is increasingly dependent on the Internet. Social media entities are incentivized to increase their user base. The major digital companies spent over \$60 million in 2018 in lobbying and consolidation in the digital industry has raised questions about the power of dominant major players. Do the practices of the economies of scale serve consumer interest, or is the potential of market dominance to the detriment of consumer choices and costs?

- What role does the federal government have in restraining the emergence of dominant major players in this industry?
- What can be done to enhance privacy protections?
- Are consumer concerns adequately taken into account by the industry?
- Do citizens have a right to conduct business online without leaving a digital footprint?

***Larry Downes**, Project Director,
Georgetown Center for Business and Public Policy*

11:00 AM – 12:45 PM
Roundtable Discussion

BIG DATA'S END GAME: THE USE AND ABUSE OF CONSUMER DATA

Though not specified directly in the Constitution, privacy has emerged as a basic human right. Many feel that they have lost control over their personal information. They have. Those who collect information about their online users own it, not the customer. Some have called for personal ownership of the information about them. In Europe, there is a "right to be forgotten," which requires online search companies to delete information that a court decides should be forgotten. In the U.S. we have relied on the Federal Trade Commission to protect privacy against unfair practices and state law. But the European Union's General Data Privacy Regulation, and now the state of California, have imposed greater privacy protections for online behavior than previously required. (For example, Google was fined \$57 million by French regulators for breaking the GDPR rules.) One solution is to require digital companies to be "information fiduciaries" with a duty of care not to harm users.

- Do citizens have a right to maintain and control publicly available data about themselves?
- Is there a need to delineate legal boundaries on data use to protect privacy?
- What controls should Congress allow users to retain?
- Is it time for a federal privacy law for the online world?

*Jack M. Balkin, Professor of Constitutional Law,
Yale University Law School*

*Latanya Sweeney, Professor of Government and Technology,
Harvard University*

12:45 PM – 2:15 PM
Working Lunch

EXPLORING PRIVACY IN THE PAST, PRESENT, AND FUTURE

The legal and social boundaries of privacy have changed over time, and are based on different assumptions in different cultures and societies. Concepts about privacy rooted in the Constitution may need updating in this era of widespread digital communications with implications for federal legislators.

*Daniel Weitzner, Founding Director,
MIT Internet Policy Research Initiative*

2:30 PM – 4:30 PM

Members of Congress and scholars meet individually to discuss ideas raised in the day's discussions on internet and privacy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions include Howard Beales, Allesandro Acquisti, Larry Downes, Jack Balkin, and Latanya Sweeney.

6:30 PM – 8:00 PM

Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities, challenges, and potential solutions regarding the future of the internet and big data.

MONDAY, MAY 13

All Participants Depart

Rep. Jim Cooper continues travel at personal expense

TUESDAY, MAY 14

Rep. Jim Cooper departs Boston at 11 am on American Flight # 2169 and arrives in Washington, DC at 12:35 pm.